

Covid19 lockdown strategy

Northern Cape Provincial Shared Internal Audit Service



Province of the
Northern Cape
REPUBLIC OF SOUTH AFRICA

Johan van Tonder
17 September 2020

Covid19 lockdown strategy

Level	Strategy
5	Work from Home
	- Conduct a needs and can-it-be-done analysis at each client
	- Assess the possibilities to audit compliance with COVID 19 regulations
	- Consult with various provincial oversight units and AGSA
	- Communicate with the Audit Committee
	- Complete all administrative tasks



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Level	Strategy
5	Administrative tasks <ul style="list-style-type: none">• Complete all 2019-20 assignments that can be completed remotely;• Complete and circulate the March 2020 AC minutes, matters arising, AC reports to MEC and AC reports to HODs;• Review existing combined assurance plans per auditee on key risks, circulate to stakeholders for consideration, inputs and reviews;• Complete the 2019-20 4th quarter performance report;• Complete the 2020-21 performance agreements;• Complete the 2019-20 internal audit annual controls report;• Conduct the 2019-20 annual performance evaluations of all officials;• Continue with the National Treasury Quality Assurance State of Readiness review;• Update the risk register;



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Level	Strategy
5	Administrative tasks
	<ul style="list-style-type: none"> • Conduct the annual internal quality assessment as per IIA Standard 1311; • Receive 'return to work' training as per National State of Disaster; • Observe May as the IIA awareness month; • Attend online training where possible. IAT program continued with Zoom.



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Level	Strategy
4	Work from home – go to office / client as need arise
	Additional activities: Perform audits as prescribed by National Treasury Instruction Note 5 of 2020-21 on emergency procurement
	- Perform audits as per DPSA circular 18 on return to work protocols.
	- Issued Advisory Note on key risks when working from home
	- Issued Advisory Note on BACS user account management
	- Performed data analytics assignment on Covid19 expenditure
	- Performed ad-hoc assignments in assistance of clean audits



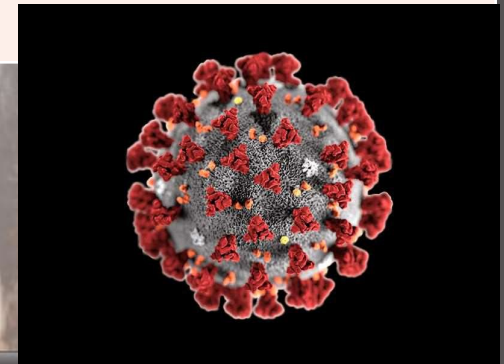
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Level	Strategy
3	Work from home – go to office / client as need arise
	Additional activities: <ul style="list-style-type: none">- Update the 2020-21 internal audit plans for the remainder of the year- Perform audits as outlined in the 2020-21 revised internal audit plans- Host the Provincial Audit Committee meetings virtually



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Level	Strategy
2	Work from home – go to office / client as need arise
	Additional activities: <ul style="list-style-type: none">- Perform audits as outlined in the 2020-21 revised internal audit plans- Host the Provincial Audit Committee meetings virtually- Conduct audit on 100% of Covid19 expenditure



Finances and performance

Budget cut 33%



Output Indicators		Medium-Term Targets		
		2020/21	2021/22	2022/23
1.1	Percentage achievement of the Audit Committee Charter	80%	80%	80%
1.2	Percentage achievement of internal audit plan / revised internal audit plan	85%	85%	85%



Audit Committee

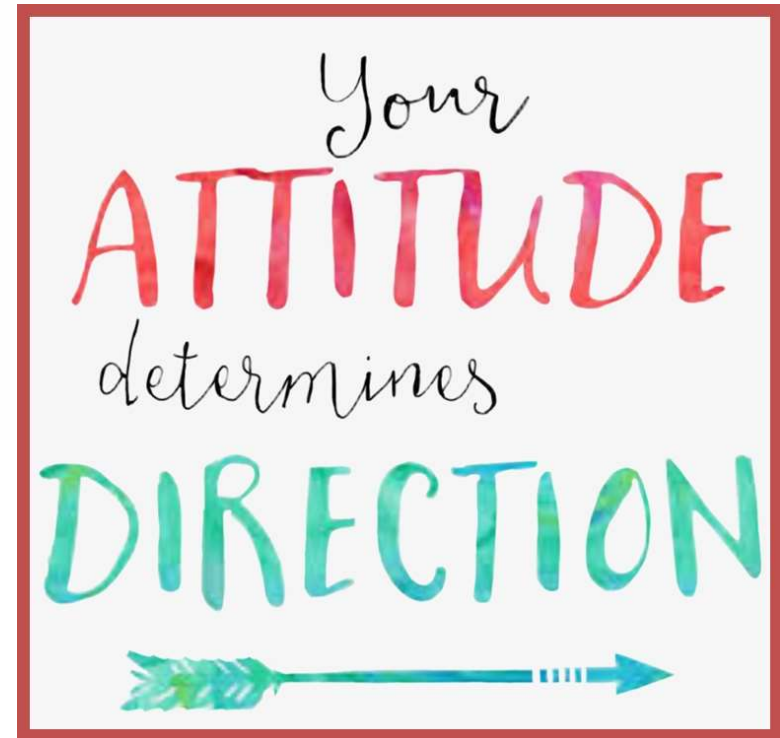
Virtual



Thank you

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